



**CONTRACT NOTE**  
**(Capital Market Segment of NSE)**  
Pursuant To Regulation 3.5  
**XYZ SECURITIES PVT. LTD.**

**Member: The National Stock Exchange of India Ltd.**

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Regd.Off : Mem: The Stock Exchange, NSE  
AB Kamla Nagar  
New Delhi - 110 001

Corp.Off : 508 Prakashdeep Building  
7 Tolstoy Marg  
New Delhi - 110001

Ph.Nos :25882332,25881801

Ph.Nos : 91-11-43500600

To  
**RIA TALWAR**  
C-218  
NEAR SHISH MAHAL  
C.P

Investor Complaint Mail ID : support@shilpisoft.com  
Email ID : shilpitech@vsnl.com  
SEBI REGN.No : INB230794438  
S.Tax. Regn.No : SB/DELHI/492/IKM/95  
TRADING MEMBER CODE NO : 08772  
MAPIN ID : 100019836

PAN No : AAAAAA1212L  
Unique Client Code : P8A01  
Client Code : P8A01  
MAPIN ID : 12345678

Cont.No FORM-A : NSE/NN8238/20250  
Date : 17-Dec-2008  
Sett.No : NN8238  
Sett.Period : 17-12-2008 - 17-12-2008

Stamped as per the provision  
applicable under the relevant Stamp Act

Sir(s)  
I/We have done today the following transactions against your order:

Order No	Order Time	Trade No.	Trade Time	Security	Buy Sale	Qty	Gross Rate Per Security	Total	Brok. Total	S.Tax*
1545565		12415151	12:38:00	3I INFOTECH LTD	Sale	-1500	140.00	210000.00	60.00	7.42
486565		15415445	12:35:00	3I INFOTECH LTD	Buy	1500	150.00	225000.00	75.00	9.27
486565		15415445	12:35:00	3I INFOTECH LTD	Buy	1200	150.00	180000.00	540.00	66.74
1454525		111111215	12:58:00	3I INFOTECH LTD	Buy	200	141.00	28200.00	84.00	10.38
1212121		11212121	12:12:01	PARASRAMPURIA SYNTHETICS	Buy	200	100.00	20000.00	6.00	0.74
1212121		11212121	12:12:01	PARASRAMPURIA SYNTHETICS	Buy	300	100.00	30000.00	90.00	11.12
4545454		15415454	12:14:00	PARASRAMPURIA SYNTHETICS	Sale	-200	110.00	22000.00	6.00	0.74
45457845		41121454	12:45:00	PARSVNATH	Buy	1000	50.00	50000.00	150.00	18.54
11111111		111111111	11:11:00	RELIANCE INDUSTRIES LTD.	Buy	100	320.00	32000.00	96.00	11.87

Service Tax on Brokerage Rs. :	136.83
Transaction Charges Rs. :	15.94
Security Transaction Tax Rs. :	0.00
Demat Charges Rs. :	40.00
Delhi State Stamp :	41.66
Service Tax on Transaction Charges Rs. :	1.97
<b>Net Amount Due from you/from us Rs.</b>	<b>-334543.40</b>

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OTHER LEVIES,IF ANY:

**\*Alternatively, these details may be furnished separately as Annexure to the Contract Note.**

dealings,contracts and transactions made subject to the Bye-Laws,Rules and Regulations of the Exchange or with reference to anything incidental thereto or in

Brokerage has been charged as stated and has been at rates not exceeding the official scale of brokerage and indicated separately.

This contract is made subject to the Rules, Bye-Laws and Regulations and usages of The National Stock Exchange of India Ltd.

In matters where the Exchange is a party to the dispute, the Civil Courts at Mumbai shall have exclusive jurisdiction and in all other matters, proper courts within the area covered under the Regional Arbitration Centre shall have jurisdiction in respect of the arbitration proceedings falling under or conducted in that Regional Arbitration Centre.

This contract constitutes and shall be deemed to constitute as provided overleaf an agreement between you and me/us, and in the event of any claims (whether admitted or not), differences and disputes in respect of any dealings, and contracts of a date prior or subsequent to the date of this contract (including any questions whether such dealings, transactions or contracts have been entered into or not) shall be referred to arbitration as provided in the Rules, Bye-Laws and Regulations of The National Stock Exchange of India Ltd.

**The Provisions printed overleaf form a part of the contract.**

Place : MUMBAI

Date : 17-Dec-2008

Yours Faithfully

For XYZ SECURITIES PVT. LTD.

SATYANARAYAN,GIRISH

Authorised Signatory/Director

Member Of National Stock Exchange of India Ltd.

Pan No : DEF

#### **EXTRACTS FROM THE BYELAWS & REGULATIONS PERTAINING TO ARBITRATION**

(1) All claims,differences or disputes between the Trading Members inter se and between Trading Members and Constituents arising out of or in relation to dealings,contracts and transactions made subject to the Bye-Laws,Rules and Regulations of the Exchange or with reference to anything incidental thereto or in pursuance thereof or relating to their validity,construction,interpretation,fulfilment or the rights,obligations and liabilities of the parties thereto and including any question of whether such dealings,transactions and contracts have been entered into or not shall be submitted to arbitration in accordance with the provisions of these Bye-Laws and Regulations.

(2) In all dealings,contracts and transactions,which are made or deemed to be made subject to the Bye-Laws,Rules and Regulations of the Exchange,the provisions relating to arbitration as provided in these Bye-Laws and Regulations shall form and shall be deemed to form part of the dealings,contracts and transactions and the parties shall be deemed to have entered into an arbitration agreement in writing by which all claims,differences or disputes of the nature referred to in clause(1) above shall be submitted to arbitration as per the provisions of these Bye-Laws and Regulations.

(3) All Claims,differences or disputes referred to in clause(1) above shall be submitted to arbitration within six months from the date on which the claim, difference or dispute arose or shall be deemed to have arisen.The time taken in conciliation proceedings,if any,initiated and conducted as per the provisions of the Act and the time taken by relevant authority to administratively resolve the claim,differences or disputes shall be excluded for the purpose of determining the period of six months.

(4) Save as otherwise specified by the Relevant Authority the seat of arbitration for different regions shall be as follows:

<b>Seats Of Arbitration-REGIONAL ARBITRATION CENTRES(RAC)</b>	<b>STATES &amp; UNION TERRITORIES COVERED BY THE RAC</b>
DELHI	Delhi, Haryana, Uttar Pradesh, Himachal Pradesh, Punjab, Jammu & Kashmir, Chandigarh, Rajasthan, Uttranchal.
KOLKATA	West Bengal, Bihar, Orissa, Assam, Arunachal Pradesh, Mizoram, Manipur, Sikkim, Meghalaya, Nagaland, Tripura, Jharkhand, Chhatisgarh.
CHENNAI	Andhra Pradesh, Karnataka, Kerala, Tamilnadu, Andaman & Nicobar, Lakshadweep, Pondicherry.
MUMBAI	Maharashtra, Gujrat, Goa, Daman, Diu, Dadra & Nagar Haveli, Madhya Pradesh.

(5) Save as otherwise specified by the Relevant Authority, the criteria for selection of seat of arbitration for a particular matter is as follows:

<b>Parties to Dispute</b>	<b>Place of filling the Application for Arbitration</b>	<b>Place of Hearing</b>
TM* V/s Trading Member	(a) If the dealing Offices of both Trading Members from where the dealing was carried is situated in any one of the states covered by the particular RAC, then the Application for Arbitration shall be filed by the Applicant-Trading Member in that RAC. (b) If the dealing offices of both Trading Members from where the dealing was carried out are situated in states covered by different RACs then the Application for Arbitration shall be filed in the RAC covering the state in which the Respondent-Trading Members dealing office is situated.	The hearing shall be held at the RAC where the Applicant-Trading Member has filed the Application for Arbitration and the Respondent-Trading Member shall attend the hearing in that particular RAC.
TM V/s C* & C V/s TM	The Application for Arbitration shall be filed by the Applicant at the RAC Covering the state in which the dealing office of the Trading Member from which the dealing was carried out is situated.	The hearing shall be held in that RAC where the Applicant has filed the Application for Arbitration and the Respondent shall attend the hearing in that particular RAC.

(6) Unless otherwise specifically agreed in writing between the Trading Member and the Constituent, in respect of any claims, disputes and differences arising out of internet trading between the Constituent and Trading Member, the seat of arbitration shall be the Regional Arbitration Centre within the area in which the Constituent ordinarily resided at the time of relevant trading, provided however in respect of a Non-Resident Indian Constituent, the seat of arbitration shall be the RAC in the area of which the correspondence office of the Trading Member is situated.

**\*TM stands for Trading Member and c stands for Constituent**

**For more details please refer to Chapter XI of the Bye-Laws and Chapter 5 of Capital Market Trading Regulations and Chapter 7 of Wholesale Debt Market Trading Regulations of The National Stock Exchange of India Ltd.**

**This Contract is electronically generated and is digitally signed.**

**\*\* End of Report \*\***